

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

10575-002001

Application No.

09/272,542

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant

Peter B. Madoff et al.

Filing Date

March 19, 1999

Group Art Unit

3621

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

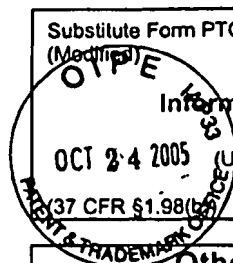
Examiner Initial	Desig. ID	Document
9	AQ	"The Essential Dictionary of Investing & Finance," Rosenberg, J.M., Barnes & Nobles Books, New York, pp. 286-287, 296-297.
	AR	"Dictionary of Finance and Investment Terms," Downes, J., et al., Barron's Financial Guides, Third Edition, pp. 36-37, 288-289, 300-301.
	AS	NASD, Glossary of Terms, http://www.nasd.com/web/idcplg?IdcService=SS_GET_PAGE&ssDocName=NASDW_01...2/2/05 , 6 pgs.
	AT	NYSE, New York Stock Exchange, http://www.nyse.com/glossary/1042235996348.html , 2/2/05, 3 pgs.

Examiner Signature

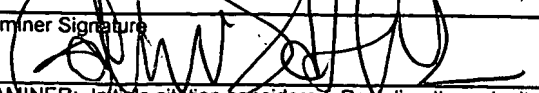
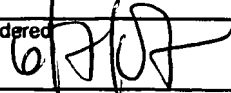
Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10575-002001	Application No. 09/272,542
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Peter B. Madoff et al.	
		Filing Date March 19, 1999	Group Art Unit 3621



Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
9	AU	NASD Glossary of Analyst Research Report Terms, http://www.nasd.com/web/idcplg?IdsService=SS_GET_PAGE&ssDocName=NASDW_01... 2/2/05, 1 pg.
	AV	InvestorWords.com, http://www.investorwords.com/3495/order.html , 2/2/05, 3 pgs.
	AW	Clarendon Securities - Glossary, http://www.clarendonsecurities.com/cs_glossary.htm , 2/2/05, 4 pgs.
	AX	Yahoo Financial Glossary, http://biz.yahoo.com/f/g/bb.html , 2/7/05, 3 pgs.
	AY	StreetAuthority.com, http://www.streetauthority.com/terms/b/bidprice.asp , 2/7/05, 1 pg.
	AZ	National Financial - Trade Execution Quality, http://www.nationalfinancial.com/legal/definitions.html , 2/7/05, 2 pgs.
	AAA	Christian Financial Definitions and Biblical financial terms, http://www.prestigeadvisors.com/Definitions.htm , 2/2/05, 1 pg.
	ABB	marketVolume Analysis, http://www2.marketvolume.com/glossary/o0125.asp , 2/7/05, 3 pgs.
	ACC	Legal & Compliance, Investor Terms Directory, http://www.investorterms.com/terms/Bid.htm , 2/2/05, 3 pgs.

Examiner Signature 	Date Considered 
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	